Sircon® Compliance Express® for Carriers

Data Sheet

Quickly perform licensing transactions in any jurisdiction and ensure producers are authorized to sell.

How do you grow business and keep your distributors authorized to sell at the same time? Use Sircon® Compliance Express® to do both in one convenient location.

With Compliance Express, you can eliminate the burden of lost packets, re-keying and shipping costs, and manage producer lifecycles by automating the whole process of licensing, appointments, renewals, continuing education, demographic changes, and more. This Sircon solution eliminates the traditionally slow, inefficient, and paper-based method of interacting with state regulatory databases and replaces it with a browser-based method that requires no expensive investment in software.

Speedy connections to state and national databases provide a powerful, yet easy-to-administer, e-licensing and appointment service for carriers to perform online transactions and inquiries with state insurance regulatory agencies.

One-Stop Shopping

Why go to multiple sources when you can process all of your regulatory transactions through one service? Compliance Express combines National Producer Database (PDB) information and more electronic regulatory processes together in one integrated service.

Streamlined Invoicing

With Compliance Express, you pay all of your fees on one comprehensive monthly invoice. Reduce the number of checks or credit card transactions you process every month and control your invoice reconciliation with the Compliance Express Cost Center feature, which allows you to specify your own billing categories for each transaction. Compliance Express billing statements are available in Adobe PDF and Microsoft Excel formats.

Signatures and Security

Document signatures are enforced as needed, either once for the whole packet or multiple times for each person involved. These signatures are legally enforceable and replace the need for physical signatures, which used to mean shipping paperwork, delays in the process, and possible loss of paperwork.

Compliance Express stays on top of regulatory changes, so you don't have to.

An intuitive workflow takes you through the required steps for appointing and terminating, allowing you to enter transactions for multiple producers, for multiple companies, in multiple states in one simplified process. Compliance Express captures only the required fields for each state and automatically populates data to eliminate repetitive data entry.

Transactions in Compliance Express are processed and confirmed in minutes or hours by the states, rather than days or weeks. Accelerated licensing and real-time appointing saves you from paying unnecessary appointment fees and means producers can begin selling immediately.

Product Features

Appointment and Termination Processing

- Direct connections to state regulators for accelerated transaction processing
- Print and save paper forms in Adobe PDF format, including automated scanned signatures
- Outsourcing services for paper form processing available
- Terminate multiple individuals for a single company
- Mass appointment processing to copy all appointments from one company to another
- Establish company defined appointment types by state, reducing staff data entry errors
- Batch file processing available through Integration Express

License Application Processing

- Service for all states, electronic and paper forms
- Electronic non-resident license applications
- Electronic resident license applications
- No credit card required, monthly billing for all fees
- Free inquiry to review status of all license applications processed
- Available through Integration Express for batch file processing

License Renewal Processing

- Resident electronic license renewals
- Non-resident electronic license renewals
- Renew in multiple states at the same time
- Free inquiry to review status of all license renewals processed

Billing and Payment Processing

- Single monthly invoice for all service fees and state fees
- Eliminates separate checks or credit card payments for each transaction
- Download detailed billing information in spreadsheet format
- Ability to have producers enter their own license application and have the company pay the fees

Reports and Inquiries

Track staff productivity and state responses with the following inquiries:

- Appointment Activity
- PIN Appointment Location
- Production Management
- Inquiry Activity by Submit Date
- Background Investigation Activity
- License Application Activity
- License Renewal Activity
- Individual Association
- Letter of Certification
- Appointment Renewal Activity by Submit Date

Producer Services

- Producer licensing reports direct from select databases or from the National Producer Database (PDB)
- Printable Letters of Certification
- Electronic name and address changes for select states
- Retrieve a list of all appointed producers for select states

Background Investigations

- Request background investigations through your vendor prior to submitting appointments or license applications
- Automatic release of requests to the state upon background approval
- Ability to review background checks before submitting to the state

Message Center

- Configurable options to receive automated emails for the status of license and appointment/termination transactions
- State status updates
- State status changes for a previously processed transaction
- Receive paper license application or appointment/ termination forms via email
- Customize the email subject and message
- Email messages to the staff member who submitted the request
- Email messages to a specified email address

Cost Center

- Enter your own cost center information for every transaction to assist with reconciling monthly invoices
- Set established cost centers that staff can select from a dropdown menu
- Make the cost center mandatory for all transactions entered by your staff

Education Tracking

- Check education status of your producers in select states
- For companies that provide education courses to your producers, enter course offerings and course rosters online

Administration

- Maintain all login information for your staff members
- Maintain user password security parameters (e.g., minimum length, determine when user must select a new password, and case sensitivity)

For more information about Sircon Compliance Express, please contact us at 877.876.4430, or visit our website at sircon.com.

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